

Utah Source	Rule or Section	Rule Name	Description of Current Rule	HCBS Settings Rule	Compliance Status
Waiver for Individuals with Intellectual Disabilities or Other Related Conditions Manual	5-2	Support Coordination and the PCSP	<p>A. The PCSP is the mechanism through which all necessary ABI Waiver services (as determined during the initial and ongoing comprehensive needs assessment process) are detailed in terms of the amount, frequency, and duration of the intervention to be provided to meet identified objectives.</p> <p>B. The amount, frequency and duration of each service listed within the PCSP is intended to provide a budget estimate of the services required to meet the assessed needs of each participant over the course of a plan year. Utah Medicaid recognizes that a participant's needs may change periodically due to temporary or permanent conditions which may require changes to the annual PCSP budget.</p>	(v) Facilitates individual choice regarding services and supports, and who provides them.	Complies with the Regulation
Waiver for Individuals with Intellectual Disabilities or Other Related Conditions Manual	9	Incident Reporting Protocols	<p>Waiver programs must provide adequate and appropriate services that safeguard the health and welfare of all enrolled recipients. Waiver programs must also assure financial accountability for funds expended for HCBS services. While these responsibilities are delegated to the Operating Agencies (OA), the SMA retains final authority and has the final responsibility to: 1) assure that appropriate actions have taken place when a critical incident or event occurs; and 2) in cases where appropriate safeguards were not in place, that an analysis is conducted and appropriate strategies have been implemented to safeguard recipients. The Critical Incidents and Events Program is a collaborative effort between the OAs for each of the 1915(c) Waivers and the SMA. The program has two levels. Level one describes the critical incidents/events that are required to be reported by the OA to the SMA for investigation, resolution and closure. Level two describes the critical incidents/events that are required to be reported to the OA for investigation, resolution and closure.</p> <p>This Standard Operating Procedure stipulates:</p> <ul style="list-style-type: none"> ☑ Level One incidents and events required to be reported to the SMA; ☑ Level Two incidents and events that are required to be reported to the OA; ☑ The agency responsible for completing the review; and ☑ Associated reporting requirements. 	(iii) Ensures an individual's rights of privacy, dignity and respect, and freedom from coercion and restraint.	Complies with the Regulation
Waiver for Individuals with Intellectual Disabilities or Other Related Conditions Manual	5-2	Support Coordination and the PCSP	<p>A. The PCSP is the mechanism through which all necessary ABI Waiver services (as determined during the initial and ongoing comprehensive needs assessment process) are detailed in terms of the amount, frequency, and duration of the intervention to be provided to meet identified objectives.</p> <p>B. The amount, frequency and duration of each service listed within the PCSP is intended to provide a budget estimate of the services required to meet the assessed needs of each participant over the course of a plan year. Utah Medicaid recognizes that a participant's needs may change periodically due to temporary or permanent conditions which may require changes to the annual PCSP budget.</p>	(ii) The setting is selected by the individual from among setting options, including non-disability specific settings and an option for a private unit in a residential setting. The setting options are identified and documented in the person-centered service plan and are based on the individual's needs, preferences, and, for residential settings, resources available for room and board.	Complies with the Regulation