

II. WAIVER COSTS AND VARIANCE FROM BUDGET NEUTRALITY LIMIT BY QUARTER (Federal Funds)

| A | B | C | D | E | F | G | H | I | K | L |
|--|--|---|--|-----------------------------------|---|---|--|-------------|-----------------|---|
| EXPENDITURES (Federal Funds) | | | | | | | | | | |
| BUDGET NEUTRALITY LIMIT (FF) | Current Eligibles | Demo Population I - PCN | | Demo Population II | Demo Population III - HIFA | | Disability Dental | | TOTAL | VARIANCE |
| | | Adults w/ Children | Childless Adults | | Adults w/ Children | Childless Adults | | | | |
| | (Sections 1925 and 1931 Adults and Medically Needy Adults) | (Section 1902(r)(2) Adults; also Known as Hypothetical State Plan Eligibles | (PCN Adults in Demo Population I = 1115) | (High-Risk Pregnant Women = 1115) | (Section 1902(r)(2) Adults; also Known as Hypothetical State Plan Eligibles | (HIFA Adults in Demo Population III = 1115 Expansion Group) | Disabled and Blind individuals ages >=18 | | | |
| State Fiscal Year (SFY)- Demonstration Year (DY) | | | | | | | | | | |
| SFY15-DY13 | \$235,389,242 | \$121,818,957 | \$3,680,770 | \$9,038,162 | \$17,994 | \$340,914 | \$10,048 | | \$134,906,845 | \$100,482,397 |
| QE 9/14 | | \$28,420,265 | \$757,709 | \$2,117,542 | \$13,523 | \$58,794 | \$2,315 | | \$31,370,148 | |
| QE 12/14 | | \$32,199,953 | \$1,035,542 | \$2,615,201 | \$4,226 | \$73,983 | \$2,295 | | \$35,931,200 | |
| QE 3/15 | | \$28,594,171 | \$1,153,257 | \$2,481,997 | \$185 | \$100,649 | \$2,499 | | \$32,332,758 | |
| QE 6/15 | | \$32,089,293 | \$750,034 | \$1,866,826 | \$60 | \$110,888 | \$2,939 | | \$34,820,040 | |
| SFY16-DY14 | \$250,807,428 | \$120,144,539 | \$2,711,921 | \$5,891,357 | \$21 | \$435,525 | \$9,707 | | \$129,193,069 | \$121,614,359 |
| QE 9/15 | | \$30,564,749 | \$637,704 | \$1,630,248 | \$21 | \$115,928 | \$2,811 | | \$32,951,461 | |
| QE 12/15 | | \$29,217,735 | \$472,101 | \$517,878 | \$0 | \$124,637 | \$1,957 | | \$30,334,308 | |
| QE 3/16 | | \$30,181,028 | \$801,058 | \$1,871,615 | \$0 | \$97,480 | \$2,469 | | \$32,953,650 | |
| QE 6/16 | | \$30,181,028 | \$801,058 | \$1,871,615 | \$0 | \$97,480 | \$2,469 | | \$32,953,650 | |
| SFY17-DY15 | \$258,238,860 | \$126,151,766 | \$2,847,517 | \$6,185,925 | \$0 | \$457,301 | \$10,192 | \$909,383 | \$136,562,084 | \$121,676,777 |
| QE 9/16 | | \$31,537,942 | \$711,879 | \$1,546,481 | \$0 | \$114,325 | \$2,548 | | \$33,913,175 | |
| QE 12/16 | | \$31,537,942 | \$711,879 | \$1,546,481 | \$0 | \$114,325 | \$2,548 | | \$33,913,175 | |
| QE 3/17 | | \$31,537,942 | \$711,879 | \$1,546,481 | \$0 | \$114,325 | \$2,548 | | \$33,913,175 | |
| QE 6/17 | | \$31,537,942 | \$711,879 | \$1,546,481 | \$0 | \$114,325 | \$2,548 | \$909,383 | \$34,822,558 | |
| SFY18-DY16 | \$273,746,570 | \$132,459,355 | \$2,989,893 | \$6,495,221 | \$0 | \$480,166 | \$10,702 | \$5,456,300 | \$147,891,635 | \$125,854,935 |
| QE 9/17 | | \$33,114,839 | \$747,473 | \$1,623,805 | \$0 | \$120,041 | \$2,675 | \$1,364,075 | \$36,972,909 | |
| QE 12/17 | | \$33,114,839 | \$747,473 | \$1,623,805 | \$0 | \$120,041 | \$2,675 | \$1,364,075 | \$36,972,909 | |
| QE 3/18 | | \$33,114,839 | \$747,473 | \$1,623,805 | \$0 | \$120,041 | \$2,675 | \$1,364,075 | \$36,972,909 | |
| QE 6/18 | | \$33,114,839 | \$747,473 | \$1,623,805 | \$0 | \$120,041 | \$2,675 | \$1,364,075 | \$36,972,909 | |
| SFY19-DY17 | \$287,433,899 | \$139,082,322 | \$3,139,387 | \$6,819,982 | \$0 | \$504,174 | \$11,237 | \$5,729,115 | \$155,286,217 | \$132,147,682 |
| QE 9/18 | | \$34,770,581 | \$784,847 | \$1,704,995 | \$0 | \$126,044 | \$2,809 | \$1,432,279 | \$38,821,554 | |
| QE 12/18 | | \$34,770,581 | \$784,847 | \$1,704,995 | \$0 | \$126,044 | \$2,809 | \$1,432,279 | \$38,821,554 | |
| QE 3/19 | | \$34,770,581 | \$784,847 | \$1,704,995 | \$0 | \$126,044 | \$2,809 | \$1,432,279 | \$38,821,554 | |
| QE 6/19 | | \$34,770,581 | \$784,847 | \$1,704,995 | \$0 | \$126,044 | \$2,809 | \$1,432,279 | \$38,821,554 | |
| SFY20-DY18 | \$150,902,797 | \$73,018,219 | \$1,648,178 | \$3,580,490 | \$0 | \$264,691 | \$5,899 | \$3,007,785 | \$81,525,264 | \$69,377,533 |
| QE 9/19 | | \$36,509,110 | \$824,089 | \$1,790,245 | \$0 | \$132,346 | \$2,950 | \$1,503,893 | \$40,762,632 | |
| QE 12/19 | | \$36,509,110 | \$824,089 | \$1,790,245 | \$0 | \$132,346 | \$2,950 | \$1,503,893 | \$40,762,632 | |
| End of Waiver Extension | | | | | | | | | | Cumulative savings in federal funds at the end of waiver extension 12/31/2019 |
| TOTAL BUDGET NEUTRALITY LIMIT SFY 2003-2020 | \$3,031,615,881 | | | | | | | | \$1,960,170,041 | \$1,071,445,839 |

Legend
 = Estimated Figures
 = Neutrality Limit without waiver ceiling
 = Actual Expenditures from MBES/CBES reports including prior period adjustments
 = Actual Quarterly Expenditures from MBES/CBES reports with no prior period adjustments

III. SUMMARY BY DEMONSTRATION YEAR AND CUMULATIVELY (Federal Funds)

| A | B | C | D | E | F | G | H | I |
|---|-------------------------|------------------------|-----------------|----------------------------------|------------------------------------|-----------------------------------|---------------------|--------------------------------------|
| Demonstration Year (DY) | Budget Neutrality Limit | Waiver Costs on CMS-64 | Annual Variance | Variance As % of Annual BN Limit | Cumulative Budget Neutrality Limit | Cumulative Waiver Costs on CMS-64 | Cumulative Variance | Variance As % of Cumulative BN Limit |
| DY #1 (SFY 2003) | \$64,151,353 | \$68,519,660 | -\$4,368,307 | -6.81% | \$64,151,353 | \$68,519,660 | -\$4,368,307 | -6.81% |
| DY #2 (SFY 2004) | \$80,491,312 | \$77,006,658 | \$3,484,654 | 4.33% | \$144,642,666 | \$145,526,318 | -\$883,652 | -0.61% |
| DY #3 (SFY 2005) | \$94,403,172 | \$90,341,017 | \$4,062,155 | 4.30% | \$239,045,838 | \$235,867,335 | \$3,178,503 | 1.33% |
| DY #4 (SFY 2006) | \$97,638,785 | \$87,381,267 | \$10,257,518 | 10.51% | \$336,684,622 | \$323,248,602 | \$13,436,020 | 3.99% |
| DY #5 (SFY 2007) | \$86,958,239 | \$85,043,219 | \$1,915,020 | 2.20% | \$423,642,861 | \$408,291,821 | \$15,351,040 | 3.62% |
| DY #6 (SFY 2008) | \$86,915,636 | \$83,042,595 | \$3,873,041 | 4.46% | \$510,558,497 | \$491,334,416 | \$19,224,081 | 3.77% |
| DY #7 (SFY 2009) | \$107,710,583 | \$98,019,023 | \$9,691,560 | 9.00% | \$618,269,080 | \$589,353,439 | \$28,915,641 | 4.68% |
| DY #8 (SFY 2010) | \$136,144,532 | \$118,491,450 | \$17,653,082 | 12.97% | \$754,413,612 | \$707,844,889 | \$46,568,723 | 6.17% |
| DY #9 (SFY 2011) | \$165,352,483 | \$113,971,006 | \$51,381,477 | 31.07% | \$919,766,095 | \$821,815,895 | \$97,950,200 | 10.65% |
| DY #10 (SFY 2012) | \$204,481,176 | \$103,683,923 | \$100,797,253 | 49.29% | \$1,124,247,271 | \$925,499,818 | \$198,747,453 | 17.68% |
| DY #11 (SFY 2013) | \$219,132,390 | \$122,343,993 | \$96,788,397 | 44.17% | \$1,343,379,661 | \$1,047,843,811 | \$295,535,850 | 22.00% |
| DY #12 (SFY 2014) | \$231,717,424 | \$126,961,116 | \$104,756,308 | 45.21% | \$1,575,097,084 | \$1,174,804,927 | \$400,292,157 | 25.41% |
| DY #13 (SFY 2015) | \$235,389,242 | \$134,906,845 | \$100,482,397 | 42.69% | \$1,810,486,326 | \$1,309,711,772 | \$500,774,554 | 27.66% |
| DY #14 (SFY 2016) | \$250,807,428 | \$129,193,069 | \$121,614,359 | 48.49% | \$2,061,293,754 | \$1,438,904,841 | \$622,388,913 | 30.19% |
| DY #15 (SFY 2017) | \$258,238,860 | \$136,562,084 | \$121,676,777 | 47.12% | \$2,319,532,615 | \$1,575,466,925 | \$744,065,690 | 32.08% |
| DY #16 (SFY 2018) | \$273,746,570 | \$147,891,635 | \$125,854,935 | 45.97% | \$2,593,279,185 | \$1,723,358,560 | \$869,920,625 | 33.55% |
| DY #17 (SFY 2019) | \$287,433,899 | \$155,286,217 | \$132,147,682 | 45.97% | \$2,880,713,084 | \$1,878,644,777 | \$1,002,068,307 | 34.79% |
| DY #18 (SFY 2020) | \$150,902,797 | \$81,525,264 | \$69,377,533 | 45.97% | \$3,031,615,881 | \$1,960,170,041 | \$1,071,445,839 | 35.34% |
| Only includes 2 qtrs since waiver extension ends 12/31/2019 | | | | | | | | |

Legend

- = Estimated Figures
- = Neutrality Limit without waiver ceiling QTD Amount
- = Actual Expenditures 1115 Waivers QTD Amount

I. CALCULATION OF BUDGET NEUTRALITY LIMIT (Without Waiver Ceiling)

| A | C | D | E | F | G | H | I | J | K | L | |
|-------------------|-------|------------|----------|---------|---------|-----------------------|---------|----------------------|---------------|--|-------------------------------------|
| Member Months | | | | | | | | | | Budget Neutrality Limit DY 13 | Budget Neutrality Limit DY 13 |
| SFY15-DY13 ----- | | | | | | | | | | Effective FMAP (Blended for SFY) | (FF) |
| | PM/PM | QE 9/14 | QE 12/14 | QE 3/15 | QE 6/15 | Total | (TF) | | | | |
| | | | | | | | | Total Funds | | Federal Funds | |
| Current Eligibles | 1.05 | \$853.46 | 95,284 | 91,358 | 93,841 | 97,315 | 377,798 | \$322,434,073 | 70.50% | \$227,316,021 | |
| 1902(r)(2) - PCN | 1.05 | \$118.46 | 20,080 | 26,504 | 24,108 | 17,915 | 88,607 | \$10,496,261 | 70.50% | \$7,399,864 | |
| 1902(r)(2) - HIFA | 1.05 | \$241.86 | 670 | 851 | 1,176 | 1,252 | 3,949 | \$955,116 | 70.50% | \$673,357 | |
| | | | | | | Total BN Limit | | \$333,885,450 | 70.50% | \$235,389,242 | |
| Member Months | | | | | | | | | | Budget Neutrality Limit DY 14 | Budget Neutrality Limit DY 14 |
| SFY16-DY14 ----- | | | | | | | | | | Effective FMAP (Blended for SFY) | (FF) |
| | PM/PM | QE 9/15 | QE 12/15 | QE 3/16 | QE 6/16 | Total | (TF) | | | | |
| | | | | | | | | Total Funds | | Federal Funds | |
| Current Eligibles | 1.05 | \$896.13 | 98,561 | 97,701 | 94,450 | 94,450 | 385,161 | \$345,153,975 | 70.32% | \$242,712,275 | |
| 1902(r)(2) - PCN | 1.05 | \$124.38 | 17,145 | 21,301 | 22,152 | 22,152 | 82,750 | \$10,292,509 | 70.32% | \$7,237,692 | |
| 1902(r)(2) - HIFA | 1.05 | \$253.96 | 1,358 | 1,469 | 987 | 987 | 4,802 | \$1,219,369 | 70.32% | \$857,461 | |
| | | | | | | Total BN Limit | | \$356,665,853 | 70.32% | \$250,807,428 | |
| Member Months | | | | | | | | | | Budget Neutrality Limit DY 15 | Budget Neutrality Limit DY 15 |
| SFY17-DY15 ----- | | | | | | | | | | Effective FMAP (Blended for SFY) | (FF) |
| | PM/PM | QE 9/16 | QE 12/16 | QE 3/17 | QE 6/17 | Total | (TF) | | | | |
| | | | | | | | | Total Funds | | Federal Funds | |
| Current Eligibles | 1.05 | \$940.94 | 94,450 | 94,450 | 94,450 | 94,450 | 377,798 | \$355,483,565 | 69.98% | \$248,767,399 | |
| 1902(r)(2) - PCN | 1.05 | \$130.60 | 22,152 | 22,152 | 22,152 | 22,152 | 88,607 | \$11,572,127 | 69.98% | \$8,098,175 | |
| 1902(r)(2) - HIFA | 1.05 | \$266.65 | 987 | 987 | 987 | 987 | 3,949 | \$1,053,016 | 69.98% | \$736,900 | |
| Diability Dental | 1.05 | \$13.23 | | | | 68,727 | 68,727 | \$909,383 | 69.98% | \$636,386 | |
| | | | | | | Total BN Limit | | \$369,018,092 | 69.98% | \$258,238,860 | |
| Member Months | | | | | | | | | | Budget Neutrality Limit DY 16 | Budget Neutrality Limit DY 16 |
| SFY18-DY16 ----- | | | | | | | | | | Effective FMAP (Blended for SFY) | (FF) |
| | PM/PM | QE 9/17 | QE 12/17 | QE 3/18 | QE 6/18 | Total | (TF) | | | | |
| | | | | | | | | Total Funds | | Federal Funds | |
| Current Eligibles | 1.05 | \$987.98 | 94,450 | 94,450 | 94,450 | 94,450 | 377,798 | \$373,257,743 | 69.79% | \$260,496,579 | |
| 1902(r)(2) - PCN | 1.05 | \$137.13 | 22,152 | 22,152 | 22,152 | 22,152 | 88,607 | \$12,150,734 | 69.79% | \$8,479,997 | |
| 1902(r)(2) - HIFA | 1.05 | \$279.99 | 987 | 987 | 987 | 987 | 3,949 | \$1,105,666 | 69.79% | \$771,645 | |
| Diability Dental | 1.05 | \$13.89 | 103,090 | 103,090 | 103,090 | 103,090 | 412,361 | \$5,729,115 | 69.79% | \$3,998,349 | |
| | | | | | | Total BN Limit | | \$392,243,259 | 69.79% | \$273,746,570 | |
| Member Months | | | | | | | | | | Budget Neutrality Limit DY 17 | Budget Neutrality Limit DY 17 |
| SFY19-DY17 ----- | | | | | | | | | | Effective FMAP (Blended for SFY) | (FF) |
| | PM/PM | QE 9/18 | QE 12/18 | QE 3/19 | QE 6/19 | Total | (TF) | | | | |
| | | | | | | | | Total Funds | | Federal Funds | |
| Current Eligibles | 1.05 | \$1,037.38 | 94,450 | 94,450 | 94,450 | 94,450 | 377,798 | \$391,920,631 | 69.79% | \$273,521,408 | |
| 1902(r)(2) - PCN | 1.05 | \$143.99 | 22,152 | 22,152 | 22,152 | 22,152 | 88,607 | \$12,758,271 | 69.79% | \$8,903,997 | |
| 1902(r)(2) - HIFA | 1.05 | \$293.99 | 987 | 987 | 987 | 987 | 3,949 | \$1,160,950 | 69.79% | \$810,227 | |
| Diability Dental | 1.05 | \$14.59 | 103,090 | 103,090 | 103,090 | 103,090 | 412,361 | \$6,015,571 | 69.79% | \$4,198,267 | |
| | | | | | | Total BN Limit | | \$411,855,422 | 69.79% | \$287,433,899 | |
| Member Months | | | | | | | | | | Budget Neutrality Limit DY 18 | Budget Neutrality Limit DY 18 |
| SFY20-DY18 ----- | | | | | | | | | | Effective FMAP (Blended for SFY) | (FF) |
| | PM/PM | QE 9/19 | QE 12/19 | | | Total | (TF) | | | | |
| | | | | | | | | Total Funds | | Federal Funds | |
| Current Eligibles | 1.05 | \$1,089.25 | 94,450 | 94,450 | | 188,899 | | \$205,758,331 | 69.79% | \$143,598,739 | |
| 1902(r)(2) - PCN | 1.05 | \$151.19 | 22,152 | 22,152 | | 44,304 | | \$6,698,092 | 69.79% | \$4,674,598 | |
| 1902(r)(2) - HIFA | 1.05 | \$308.69 | 987 | 987 | | 1,975 | | \$609,499 | 69.79% | \$425,369 | |
| Diability Dental | 1.05 | \$15.32 | 103,090 | 103,090 | | 206,180 | | \$3,158,175 | 69.79% | \$2,204,090 | |
| | | | | | | Total BN Limit | | \$216,224,096 | 69.79% | \$150,902,797 | |

= Neutrality Limit without waiver ceiling
 = Actual Member Months
 = Estimated Member Months

| Additional Population "Disability Dental" as of 5/1/17 | | | | | | |
|--|--|----------------|---|--------------------------|--|--|
| Source: G:\Legislative and GOMB Items\2017 General Session\Building Blocks\Restoration of Adult Dental\2016-09-22 - Restoration of Adult Dental Updated DO NOT DISTRIBUTE.xlsx | | | | | | |
| Recipients | County | SFY2018 | Net Expenditure Estimate (Includes regular, emergency and pent-up demand expenditures) | Qtrly Expenditure | | Estimate for SFY2017, May 1, 2017 implementation (2 months) |
| Blind/Disabled | Salt Lake County | 171,501 | | | | |
| Blind/Disabled | Other | 240,860 | | | | |
| | | 412,361 | \$5,456,300 | \$1,364,075 | | \$909,383 |
| | | PMPM | \$13.23 | | | |
| | Estimate of eligible members per month | 34,363 | | | | |