Utah Department of Health

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October 7, 2019

Jonathan Ball, Director
Office of Legislative Fiscal Analyst
State Capitol Complex
House Building, Suite W310
Salt Lake City, UT 84114

Reference: Medicaid Management Information System Annual Report

Dear Mr. Ball:

Legislative intent language from the 2019 Legislative General Session directs the Utah Department of Health (UDOH) to report annually on the status of replacing its Medicaid Management Information System (MMIS). This letter and its contents constitute UDOH's report for the 12 months starting October 1, 2018 and ending September 30, 2019.

MMIS Replacement Project Status by Component

Pre-Payment Editing System

The editing software has been in production since December 20, 2010. The total avoided claim payments for the 12 months starting October 1, 2018 and ending September 30, 2019 was $4,061,497. The total amount avoided since the implementation of the system is $26,438,851.

Fraud and Abuse Detection System (FADS)

The requirements for a FADS tool will be incorporated with the development of the new MMIS replacement. In the interim, UDOH is providing the necessary data extracts and clarification of the data to the Medi-Medi contractor. The vendor is utilizing the State's data to analyze Medicare-to-Medicaid claims.

Point of Sale (POS) and Drug Rebate Management System (DRMS)

The POS/DRMS contract was awarded to Goold Health Systems (GHS). The Point of Sale System was implemented on February 19, 2012. The DRMS went into production April 16,

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2012. The 2012 first quarter rebates were invoiced in May utilizing the new DRMS. A Pharmacy Provider Portal is the last functionality implemented as part of this project. The Portal allows a registered provider to submit prescriptions and prior authorization requests, verify eligibility and view preferred drug list information. During February 2013, the Centers for Medicare and Medicaid Services (CMS) completed the on-site certification review of the system. There were no initial findings at the conclusion of the on-site certification review. UDOH received the CMS certification report on April 4, 2013. UDOH recently renewed this contract; however, in FY 2020, UDOH will be issuing a request for proposals seeking a system that can meet UDOH's needs regarding liability, security, and proper payment of claims.

Data Warehouse Upgrade
The Data Warehouse upgrade was completed in February 2011 and is in production.

Core MMIS replacement
The following items provide a month by month log of key project activities:

October 2018
CMS approved the CNSI Contract Amendment and IAPD-U on 10/24/2018. The Contract Amendment is in the final signature process. Design Development and Implementation activities are progressing against the Project Schedule which baselined on 8/31/2018. To date, there have been 18 deliverables scheduled for CNSI submission and/or UDOH approval against the baselined project schedule. Of those deliverables, 17 deliverables were submitted on time by CNSI and 8 deliverables have been approved by UDOH according to schedule. No critical path deliverables are threatening key project milestones.

The major focus is on CNSI submission and UDOH approval of deliverables related to Planning and Initiation and System Design. Submission and approval of Planning and Initiation deliverables will continue through 12/14/2018 when the final planning deliverable is scheduled to be approved. Design will continue to be delivered in multiple volumes through 1/16/2019 for Release C1 Provider Enrollment and through June 2019 for Release C3 Core MMIS.

November 2018
The CNSI Contract Amendment was approved and effective 11/13/2018. Project activities are progressing against the new baselined schedule. To date, there have been 26 deliverables scheduled for CNSI submission and/or UDOH approval against the baselined project schedule. Of those deliverables, 24 deliverables were submitted on time or early by CNSI and 11 deliverables have been approved by UDOH ahead of or on time.

There were 6 deliverables that required additional revision and were delayed beyond the scheduled approval date; however, no broader downstream impact to the schedule is anticipated. There are 3 deliverables at risk of being approved on time but are not in the critical path. No critical path deliverables are threatening key project milestones.
System Design is the current project focus involving significant focus by CNSI on design development and UDOH review and approval of design deliverables. There are 120 design deliverables scheduled for CNSI submission and UDOH approval. To date, there have been 11 design deliverables submitted, 3 are approved, 3 are under UDOH review, 4 are under CNSI Review, and 1 recommended for UDOH approval (in 'Recommend State PD Approval' status). The Design phase is scheduled to continue to January 2019 for C1 and June 2019 for C3.

December 2018
To date, there have been 29 deliverables scheduled for CNSI submission and/or UDOH approval against the 8/31/2018 project schedule. Of those deliverables, 28 deliverables were submitted on time or early by CNSI, and 15 deliverables have been approved by UDOH ahead of or on time. There were 9 deliverables that required addition revisions which were delayed beyond the scheduled approval date; however, no broader downstream impact to the schedule is anticipated. There is 1 deliverable at risk of not being approved on time but is not in the critical path.

Major project focus has been on CNSI submission and UDOH approval of deliverables related to Planning and Initiation and System Design. All 17 Project Planning deliverables for the Planning and Initiation Phase of the project have been submitted. Only the Master IT Security Plan (MITSP) remains in process for approval. CNSI submitted the Project Management Plan package early on 12/12/2018 for an on-time approval of 12/14/2018. Approval of this package marks the end of the Planning and Initiation phase of the project.

All 9 design documents for C1 (Provider Enrollment) have been submitted. 6 of 9 have been approved, and 3 of 9 remain in the UDOH review process prior to approval. C3 CORE MMIS design documents will continue to be delivered in multiple volumes (i.e. Logical Groupings) and approvals will extend through June 2019.

January 2019
Major project focus during the reporting period continues on CNSI submission and UDOH review and approval of Detailed System Design Documents (DSDDs). All 9 design documents for C1 (Provider Enrollment) have been submitted. Seven of 9 have been approved, and 2 of 9 remain in the UDOH review process prior to approval. C3 Core MMIS design documents will continue to be delivered in multiple volumes and approvals will extend through June 2019.

There are two specific areas of risk that are currently being managed via established project processes:
- Quality of Design Documents being submitted by CNSI that has a potential to impact schedule if not sufficiently addressed
- Delay related to Oracle delivery and installation of equipment in the State Data Center

With approval of the Master IT Security Plan (MITSP) in January, all 17 Project Planning deliverables for the Planning and Initiation Phase of the project have been approved by UDOH and approved. Therefore, the Planning and Initiation Phase of the project has been formally completed.

System Design is the current project focus involving significant focus by CNSI on design development and UDOH review and approval of design deliverables. There are 120 total design deliverables scheduled for CNSI submission and UDOH approval. To date, there have been 16 design deliverables submitted, 10 are approved, 3 are under UDOH review, 3 have been returned to CNSI for review.

Quality of design documentation has resulted in a significant number of UDOH comments and the need for additional collaboration sessions that is putting pressure on the project schedule. UDOH and CNSI are appropriately focused on addressing comments that ensure

the design is clear to meet requirements, provide sufficient information for the development phase (i.e. CNSI knows how to develop the system to meet the design) and the testing phase (i.e. UDOH clearly understands design to develop test cases for UAT).

To address the quality issues, CNSI has developed and is currently updating a guidelines document for documentation of use cases (which are a key component of the design documentation) that CNSI will consistently follow to ensure design is clearly documented.

Operational Release R3-1.5 went live on January 3, 2019 and Operational Release R1-1.17 went live on January 11, 2019.

February 2019
Many DDI project activities are progressing against the baselined schedule. To date, there have been 36 deliverables scheduled for CNSI submission and/or UDOH approval against the project schedule. Of those deliverables, 35 deliverables were submitted on time or early by CNSI, and 18 deliverables have been approved by UDOH ahead of or on time.

The project focus during the reporting period continues to be on CNSI submission and UDOH review and approval of Detailed System Design Documents (DSDDs). There are two specific areas of risk that are actively being managed by the project:

- Product: Design Documents being submitted by CNSI have quality issues, specifically, the system design is unclear in the deliverable documentation. Clarity is critical to CNSI as they develop the system with common understanding of design and for both CNSI and UDOH testers as they prepare and conduct testing.

- Schedule: There is a risk to UDOH approval of DSDDs according to schedule due to the time required for CNSI to address numerous UDOH comments and to conduct additional collaboration sessions to get to a clearly documented design that can be approved.

CNSI has delivered a corrective action plan to address the DSDD quality issues and to mitigate the risk of delay to critical path activities in the schedule. Schedule changes to absorb the delay already incurred have been approved. For C1 Design, although there were numerous UDOH comments and more collaborative sessions than originally scheduled, all 6 design documents have been submitted and 5 of 6 have been approved. 1 remains in the UDOH review process prior to approval.

The first test case deliverables for C1 were submitted during this reporting period. The first training storyboards for eLearning courses for C1 were also delivered in the reporting period.

Tasks related to Oracle equipment delivery and CNSI set up of networking and firewalls are currently behind schedule however significant progress has been made during the reporting period. Mitigation actions have been taken to minimize impact to downstream tasks. Assessing new dates and mitigating schedule impact are in process and being actively managed by both UDOH and CNSI.

March 2019
Many DDI project activities are progressing against the baselined schedule. To date, there have been 43 deliverables scheduled for CNSI submission and/or UDOH approval against the

project schedule. Of those deliverables, 42 deliverables were submitted on time or early by CNSI, and 20 deliverables have been approved by UDOH ahead of or on time.

Schedule impact resulting from Design quality issues previously reported have largely been mitigated by approved changes to the project schedule that absorb the delay required to address the quality issues so design documents can be approved. CNSI developed a corrective action plan to identify actions to address the quality issues so that future design document submissions have higher quality. CNSI is in the process of executing the plan. Quality improvements are expected to be seen in DSDDs submitted or resubmitted during March. There has been some improvement as evidenced by two recent DSDD approvals. Eight DSDDs are currently in the review process.

The first test-case deliverable submitted for C1 had quality issues - specifically, completeness, accuracy, and level of detail as needed to successfully document how the functionality of the system will be tested. UDOH required that CNSI develop a corrective action plan to identify actions to address these issues so that future test case submissions have higher quality. CNSI is in the process of executing the plan. Improvements are projected to be seen in future deliverables, the next of which is scheduled to be delivered in April 2019.

Tasks related to Oracle equipment delivery and CNSI set up of networking and firewalls are currently behind schedule by 12 days. Assessing new dates and mitigating schedule impact are in process and being actively managed by both UDOH and CNSI.

Operational Release R3-1.6 went live on March 28, 2019.

April 2019
The majority of the schedule is being met and risks and issues are being managed with appropriate urgency. Most DDI project activities are progressing against the baselined schedule. Thirty-nine of 45 submitted deliverables have been approved. The remaining 6 were delivered early or according to schedule and are in process. All C1 and C3 DSDDs have been submitted and have been approved or are in process.

The test case deliverables submitted for C1 during the previous and current reporting periods have had quality issues - specifically, completeness, accuracy, and level of detail as needed to successfully document how the functionality of the system will be tested. Additional discussions on the Testing corrective action plan are being held with CNSI.

The Oracle infrastructure deployment continues to track behind schedule by 12 business days, presenting a risk to starting C1 System Testing on 6/3/2019 as scheduled. The mitigation strategy proposed by CNSI during this period is to establish a temporary System Integration Testing (SIT) environment to allow an on-time start of SIT date.

DDI project activities are progressing against the baselined schedule. Thirty-nine of 45 submitted deliverables have been approved. The remaining 6 were delivered early or according to schedule and are in process.

May 2019
The Overall Project Health remains Low Risk as the majority of the schedule is being met and risks and issues are being managed with appropriate urgency to mitigate adverse impact to the project. Thirty-nine of 47 submitted deliverables have been approved. Of the 8 remaining in process, 7 were delivered early or according to schedule.

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During the reporting period, C1 System Integration Testing (SIT), was initiated on June 3, 2019. There are 29 SIT Entrance Criteria defined in the Master Test Plan which are assessed to inform the decision to proceed with SIT. In order to enable SIT to begin as scheduled, CNSI and UDOH agreed to an iterative approach to conduct SIT. The decision to begin SIT was authorized by UDOH after a risk / reward evaluation of the 29 entrance criteria. The same evaluation will be made during a gate review prior to beginning each subsequent iteration.

In previous reporting periods, test case quality has been reported as an issue. Although all test cases have not been approved for SIT, the test cases to be executed in Iteration One have been approved. Test case quality needs to be mitigated so that test cases to be executed in each subsequent iteration are approved prior to beginning that iteration.

The risk that Oracle environment readiness posed to the schedule has been largely mitigated. Oracle environments have been turned over to UDOH in both the Richfield and SLC locations and preparation of the Richfield environment is in process. The Maryland environment is currently supporting C1 SIT Iteration One. The Richfield environment is currently planned to be used for SIT beginning with Iteration Two on 6/19/2019.

The iterative approach to C1 SIT requires that the test cases for each iteration be remediated by CNSI and approved by UDOH before an iteration begins. Quality and completeness of these resubmitted SIT test cases must be at a high standard at the time they are resubmitted in order for C1 SIT to stay on schedule. UDOH and CNSI are conducting daily stand ups to monitor SIT progress.

June 2019
Most project activities are progressing against the baselined schedule. Forty-six of 50 submitted deliverables have been approved with 4 remaining in process. Good progress was made this reporting period with 5 deliverable approvals.

SIT Test Case approval and Test Case execution are behind schedule. CNSI submitted a Corrective Action Plan (CAP) on 7/10/2019 that significantly increases allocated test execution resources from 4 to 13 testers and organizes the remaining testing work with daily productivity targets to meet the 7/22/2019 deliverable deadline. CNSI needs to execute and manage to this plan. If the productivity laid out in the plan is not realized, the submission date for the critical deliverable, the C1 Unit Test Results Report, will not be met.

The SIT start date in the Richfield environment, originally scheduled for 6/20/2019, was not met until 7/7/2019 due to delays in configuration. The Richfield environment is now in use for SIT although a few remaining open items around FileNet continue to be worked. A project-level issue was opened to address the corrective action plan for remaining in the temporary Maryland environment until the Richfield environment was ready to support SIT. The limitations of the temporary environment has slowed testing progress.

The defect rate for test case execution represents a quality issue that puts pressure on the schedule due to the extra time and effort required to get to UDOH approval. At the end of Week 5, there were 762 cumulative planned test cases, but only 300 test cases had actually passed (39% of planned). So far through SIT, the UDOH standards for Test Case approval are not consistently being met by CNSI, resulting in a high rate of continuing resubmissions and

rework, compounding the risk to schedule due to the continued work on test case creation while execution is well underway.

Operational Release R1-1.18 went live on June 20, 2019 and Operational Release R3-1.7 went live on June 27, 2019.

July 2019
Most DDI project activities are progressing against the baselined schedule. There are currently 47 of 54 submitted deliverables approved with 7 remaining in process. There were 3 deliverable approvals in the reporting period.

Completing C1 SIT testing according to the schedule remains a significant challenge for CNSI. A Corrective Action Plan (CAP) was provided by CNSI on 7/10/2019; however, the completion targets were not consistently met. This includes both targets for creation and execution of test cases. The defect rate laid out in the CAP was not met. An updated CAP was provided by CNSI on 8/1/2019, however, the updated plan was not sufficient to mitigate the risk. Daily actuals were not consistently reported. The daily targets established in the CAP for creation and execution of Test Cases were not met.

Quality issues have persisted through multiple submission/review cycles of test cases being developed, as many as 3 and 4 re-submissions. The failure rate of test case execution has been higher than the defect rate laid out in the CAP. One contributing factor has been the allocation of CNSI testing resources necessary to meet the simultaneous demand for testing (test case development, execution, defect repair, and retest) has not been sufficient to support the targets set in the CAP.

The intent of the July 10 CAP was to meet the submission date for the C1 Unit Test Results Report (UTRR), a critical deliverable defined in the contract that was due on 7/22/2019. UDOH did not accept the 7/22/2019 submission of the UTRR since required Test Cases had not been executed prior to submission. Initial execution of the required Test Cases was completed and redelivery of the report occurred on 7/30/2019. The deliverable was accepted by UDOH and is now under review.

The annual CMS Initial Advanced Planning Document Update (IAPD-U) was completed and submitted to CMS on July 31, 2019.

August 2019
There are currently 48 of 59 submitted deliverables approved with 11 remaining in process. There was one deliverable approval in the reporting period. Although the C3 release schedule is being met and risks and issues are being managed with appropriate urgency, the Testing related to the C1 release and C1 schedule both continue to be assessed at High Risk.

The schedule for C1 SIT testing continues to fall behind schedule. A Corrective Action Plan (CAP) was provided by CNSI on 7/10/2019 to address the schedule slippage during the execution of State-specific testing planned for Weeks 1 thru 7 of SIT testing. The targets in the CAP were not consistently met and the UTRR was delivered on 7/30/2019 (5 business days behind schedule.) The UTRR remains under UDOH and IV&V review.

CNSI has delivered two CAPs to address the testing for End to End (E2E) and Regression Testing originally scheduled to be completed in Weeks 8 thru 13 of SIT. The first CAP was delivered on 8/1/2019 and the second CAP on 8/21/2019. The targets outlined in the CAPs

were not met by CNSI. UDOH has requested that CNSI provide a realistic CAP to complete C1 SIT testing. The System Integration Test Report (SITR) was due on 8/20/2019 and was not submitted since the testing is not complete.

September 2019
CNSI has now delivered three CAPs to address the testing for End to End (E2E) and Regression Testing originally scheduled to be completed in Weeks 8 thru 13 of SIT. The first CAP was delivered on 8/1/2019, the second CAP on 8/21/2019, and the most recent CAP delivered on 9/3/2019. The targets in the first two CAPs were not met.

The 9/3 CAP indicates a completion of System Test the week ending 10/18/2019 which leads to the delivery of the SITR on 10/24/2019, a 45 business-day delay. This represents further delay in the execution and completion of System Integration Testing than previously reported. The CAP delivered on 9/3/2019 now addresses defect resolution that had been missing in previous CAPs. As of the publication of this report we are still reviewing the CAP.

There are 11 criteria defined in the Master Test Plan that must be completed to meet the exit criteria for C1 SIT. The most notable criteria involve completing the UTRR and SITR which represent the initial execution of all C1 test cases. In addition, exit criteria include resolution of all Severity 1 and 2 defects, and Severity 3 defects as agreed with UDOH.

Given that the planned completion of C1 SIT will not be met and entrance in to User Acceptance Testing (UAT) may be impacted, the project schedule dates are now under evaluation as part of the Work Plan Modification Request (WPMR) process.

The annual CMS Initial Advanced Planning Document Update (IAPD-U) was approved by CMS on September 4, 2019.

Appropriations, Project Cost and Expenditures

Most of the project's expenditures can be matched at a 90/10 federal-to-state matching rate. However, computer hardware and software expenditures are matched at a 75/25 federal-to-state matching rate.

The Utah State Legislature appropriated $3 million in general fund to the MMIS project in the 2010 General Session (FY 2011) and another $3 million in general fund in the 2011 General Session (FY 2012). No new appropriations were made to the project in the 2012 General Session or the 2013 General Session.

UDOH presented the project status and funding needs to the Executive Appropriations Committee on June 18, 2013. To help UDOH meet project cash flow demands, the 2013 Legislature collapsed the MMIS Line Item into the Medicaid Mandatory Services Line Item starting in FY 2014.

During the 2014 General Session, the Legislature appropriated $2 million in one-time general fund and an additional $1.5 million in contingent general fund for FY 2015. The contingency was met during FY 2014 closeout. So UDOH received the full $3.5 million anticipated in FY 2015. UDOH did lapse approximately $1 million in general fund at the conclusion of FY

2014 because it did not receive carry forward authority during the 2014 Legislative General Session.

During the 2015 General Session, the Legislature appropriated just over $5 million in one-time general fund. In the 2015 General Session, the Legislature also approved non-lapsing authority for the MMIS replacement project of all unexpended appropriated balances and additional amounts of unexpected Medicaid program appropriations. At the closeout of FY 2015, UDOH was able to direct $1.7 million of the unexpected claims appropriations to the MMIS replacement project.

During the 2016 General Session, the Legislature approved intent language that allowed UDOH to carry-forward up to $8,800,000 for the replacement project from UDOH's Medicaid Mandatory Services line item, which represented the FY16 beginning funding balance plus an additional $300,000. Intent language was also approved for UDOH's Medicaid Optional Services line item to carry-forward up to $300,000 to be used for replacement project, if there were insufficient funds available from the mandatory line. During its FY 2016 closeout, UDOH was able to carry-forward the full $8,800,000 from UDOH's Medicaid Mandatory Services line item. Since the full amount was carried forward from the mandatory line, UDOH did not carry forward any funds from the optional line for the replacement project.

During FY 2017, FY 2018, and FY 2019 closeout, UDOH carried forward the maximum amount allowed under legislative non-lapsing authority. In FY 2019, this non-lapsing authority included an additional $3,000,000 authorized by the Legislature. Based on current estimates, UDOH estimates that these funds will be sufficient to fund design and development through the implementation of C3. UDOH will work with the Governor's Office of Management and Budget and the Office of the Legislative Fiscal Analyst to determine appropriate non-lapsing authorities to ensure sufficient funds for the project in future years.

The FY 2019 contract amendment delineates CNSI's costs post implementation. UDOH is still reviewing how current DTS charges will change post implementation. However, UDOH is currently estimating a net increase to operate the PRISM system over current operation costs.

Pre October 2018 Accomplishments of the MMIS Project
- State Self-Assessment completed
- Assessment of state capabilities completed
- Gap analysis completed
- Cost/Benefit analysis completed
- Request for Information (RFI) completed
- Requirement analysis and documentation drafted
- FADS I-APD submitted to CMS and approved
- FADS Request for Proposal (RFP) submitted to CMS Regional Office and approved
- FADS canceled – 5-year functionality provided free of charge by CMS Medi-Medi project
- Pre-Pay RFP posted and contract awarded
- Pre-Pay project implemented
- Point of Sale (POS) I-APD approved
- Point of Sale responses evaluated and contract awarded to Goold Health Systems (GHS)

- Data Warehouse contract awarded without protest
- Data Warehouse upgrade completed
- DDI contract awarded without protest
- IV&V contract awarded without protest
- Cognosante contract approved by CMS and completed
- CNSI contract approved by CMS and completed
- Scheduled requirement validation sessions completed
- CNSI reached an agreement with IBM to provide organizational change management services
- The first hardware was received and installed in the State Data Center
- CMS gave the State a positive review of the project status and management processes during the December 2013 onsite visit
- Release 1, which contains the new Medicaid Website and Eligibility Lookup Tool successfully went live March 30, 2014 on schedule
- Implementation of Release 1 was successful.
- Successful UAT and implementation of Release 1.2 and 1.3
- Implementation of the new Medicaid Card was successful
- Successful UAT and implementation of Release 1.4 and 1.5
- Release 2 was successfully implemented and released ahead of schedule on August 1, 2014
- The annual update of the Implementation Advance Planning Document (IAPD-U) was submitted to CMS and approved
- Successful UAT and implementation of Release 1.6 and 2.1 on September 14, 2014
- Successful UAT and implementation of Release 1.7 and 2.2 on October 14, 2014
- Successful UAT and implementation of Release 1.8 and 2.3 on November 20, 2014
- Successful UAT and implementation of Release 1.9 and 2.4 on December 14, 2014
- Design for Release 3 was completed in January 2015.
- The first development demonstrations for Release 3 occurred January 13, 2015
- Release 1.10 and 2.5 were implemented in February 2015
- The Release 3 design documentation was approved February 2015
- Release 1.11 and 2.6 were implemented in March 2015
- The annual update of the IAPD-U was submitted to CMS
- Unit testing for Release 3 was completed in April 2015
- System integration testing for Release 3 started in May 2015
- The annual update of the IAPD-U was approved by CMS
- The Release 4 schedule was re-baselined in July 2015
- System integration testing for Release 3 was completed in August 2015
- The Release 3 user acceptance testing environment was brought online.
- User acceptance testing training was held for Release 3 in September 2015
- User acceptance testing for Release 3 started in September 2015
- Approximately 200 Medicaid providers are signed up to test Release 3
- The first pass of user acceptance testing for Release 3 was completed in December 2015
- A new go-live for Release 3 has been set for July 1, 2016
- User acceptance regression testing for Release 3 started in January 2016
- The PRISM status was presented to the Utah Indian Health Advisory Board in January 2016
- Release 3 system testing for external providers began in February 2016
- User acceptance regression testing for Release 3 completed in March 2016.
- Release 3 system testing for external providers completed in March 2016
- The April 2016 Medicaid Information Bulletin included information about the July 1, 2016 go-live date

- The review of Release 4 use cases was completed in May 2016
- An Interim Medicaid Information Bulletin was sent to providers to announce the June 1 freeze date for new provider enrollment applications in May 2016
- Configuration and testing of the Release 3 production environment was completed in June 2016
- The training materials were finalized and the training sessions conducted for Release 3 in June 2016
- Provider and staff training resources were published to the website in June 2016
- PRISM slides were finalized for the 2016 Annual State-wide Provider Training
- Release 3 was successfully implemented on July 1, 2016
- Minor Release R3.1.0.1 was successfully implemented on August 12, 2016
- Minor Release R3.1.0.2 was successfully implemented on September 16, 2016
- The 2016 Annual State-wide Provider Training was completed in September 2016
- Minor Release R3.1.0.3 was successfully implemented on October 28, 2016
- Minor Release R3.1.0.4 was successfully implemented on December 9, 2016
- Representatives from UDOH and DTS completed an onsite visit to Michigan to discuss the CNSI Cloud solution and see their data center during January 2017
- Major release R3-1.1 was successfully implemented on March 3, 2017
- The decision was made to move PRISM to the Cloud in April 2017
- Release R3-1.1.1 went live on April 4, 2017
- CNSI was notified of the State's decision to move to the Cloud in May 2017
- Cloud solutioning sessions started on May 15, 2017.
- The Cloud decision and costs were presented to the Executive Appropriations Committee on May 16, 2017
- The Cloud decision and costs were presented to the Health and Human Services Interim Committee on May 17, 2017
- CNSI was notified of the State's decision to host the Cloud in Michigan on June 28, 2017
- User Acceptance Testing for Release R3-1.2 was completed and the release went live on August 4, 2017
- The State negotiated and approved the CNSI Cloud pricing during August 2017
- An executive summary of the project and a request to amend the existing CNSI contract was submitted to State Purchasing
- The annual update of the Implementation Advance Planning Document was submitted to and approved by the Centers for Medicare and Medicaid Services
- State Purchasing approved moving forward with a contract amendment instead of a new CNSI contract on September 11, 2017
- CMS agreed to allow the State to amend the current IAPD instead of creating a new IAPD for the Cloud.
- Release R3-1.2.1 was completed and the release went live on November 5, 2017
- Release R3-1.2.2 was completed and the release went live on December 21, 2017
- User acceptance testing for release R1-1.14 started February 2, 2018 and was completed February 14, 2018
- Release R1-1.14 went live on February 22, 2018
- User acceptance testing for release R1-1.15 started March 14, 2018 and was completed March 16, 2018
- User acceptance testing for release R3-1.13 started March 19, 2018 and in anticipated to end on April 5, 2018
- A 120 day contract extension was executed to complete the negotiation of the CNSI contract amendment on March 26, 2018
- User acceptance testing for release R3-1.13 completed on April 5, 2018.

- Release R3-1.13 went live on April 6, 2018.
- User acceptance testing for release R3-1.4 started July 9, 2018 and was completed on August 8, 2018.
- The final draft contract was submitted to CMS on July 31, 2018
- Release R3-1.4 went live on August 9, 2018.
- On August 31, 2018 the revised project schedule was completed and approved
- The IADP-U was finalized and submitted to CMS on September 6, 2018.
- CMS submitted a request for additional information for the IAPD-U and the contract amendment on September 20, 2018.

Please let me know if you have any questions related to this report. You can reach me at (801) 538-6689.

Sincerely,

Nate Checketts
Deputy Director, Utah Department of Health
Director, Division of Medicaid and Health Financing